



04-24-01

SECTION 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of

Our Ref.: P/3879-3

HORSFALL et al.

Date: April 23, 2001

Serial No.: 09/753,940

Group Art Unit: 2161

Filed: 1/3/01

Examiner: Unknown

For: CONVERSATIONAL DEALING SYSTEM

Hon. Commissioner of Patents and Trademarks
Washington, DC 20231
Attention: Application Branch

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED**

Sir:

<input checked="" type="checkbox"/>	Form PTO 1533 is attached along with the executed inventor(s) Declaration and our check which includes the amount of \$130.00.
<input type="checkbox"/>	Form PTO 1533 is attached along with the executed inventor(s) Declaration, Verified English-language translation of the specification as filed and our check in the amount of \$260.00
<input type="checkbox"/>	Petition for a ___-month extension of time is respectfully requested. Our check in the amount of \$___ is attached.
<input checked="" type="checkbox"/>	Submitted herewith is a copy of art together with an ART LISTING FORM listing the same for the convenience of the Examiner.
<input type="checkbox"/>	FORMAL DRAWINGS - Sheet _ to _, Figures _ to _.
<input type="checkbox"/>	CERTIFIED COPY OF PRIORITY APPLICATION(S). Priority is Claimed under 35 U.S.C. §119: Convention Date ___ for <u>Japan</u> Appln. S.N. ___
<input type="checkbox"/>	Error(s) appear on the Official Filing Receipt. A copy of the same is marked in red. Correction of the error(s) is respectfully requested. The error(s) resulted from the Patent Office, thus, no fee is due.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account #15-0700. If this communication is filed after the time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. §1.136(a), to extend the time for filing a response by the number of months which will avoid abandonment under 37 C.F.R. §1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL613109229US) in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231-9999, on April 23, 2001:

Dorothy Jenkins

Name of Person Mailing Correspondence

Signature

April 23, 2001

Date of Signature

Respectfully submitted,

Steven I. Weisburd

Registration No.: 27,409

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

SIW:sks

00503811.1



UNITED STATES PATENT AND TRADEMARK OFFICE

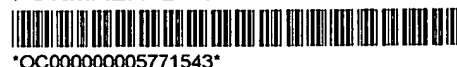
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,940	01/03/2001	Peter R. Horsfall	P/692-150

CONFIRMATION NO. 1745

000002352
OSTROLENK FABER GERB & SOFFEN
1180 AVENUE OF THE AMERICAS
NEW YORK, NY 100368403

FORMALITIES LETTER



OC000000005771543

Date Mailed: 02/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

AM
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/25/2001 JADD01 00000002 09753940

01 FC:105

130.00 OP